SOUTHERN DISTRICT OF NEW YORK	Y
In re: LEHMAN BROTHERS HOLDINGS INC., et al.,	: Chapter 11 Case No. : 08-13555 (SCC)
Debtors.	: (Jointly Administered) : Ref. Docket No. 55962
AFFIDAVIT OF SER	VICE
STATE OF NEW YORK ) ) ss.: COUNTY OF NEW YORK )	

FORREST KUFFER, being duly sworn, deposes and says:

- 1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, NY 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
- 2. On August 11, 2017, I caused to be served the "Notice of Hearing on the Plan Administrator's Five-Hundred Twenty-First Omnibus Objection to Claims (Satisfied Guarantee Claims)," dated August 11, 2017, to which was attached "The Plan Administrator's Five-Hundred Twenty-First Omnibus Objection to Claims (Satisfied Guarantee Claims)," dated August 11, 2017 [Docket No. 55962], by causing true and correct copies to be:
  - i. enclosed securely in separate postage pre-paid envelopes and delivered via overnight mail to those parties listed on the annexed <u>Exhibit A</u>,
  - ii. delivered via electronic mail to those parties listed on the annexed Exhibit B, and
  - iii. enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed <u>Exhibit C</u>.

3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Forrest Kuffer
Forrest Kuffer

Sworn to before me this 14<sup>th</sup> day of August, 2017 /s/ Regina Amporfro

Notary Public, State of New York No. 01AM6064508 Qualified in Bronx County Commission Expires September 24, 2017

# **EXHIBIT A**

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OFFICE OF THE UNITED STATES TRUSTEE ATTN: JOSEPH T. NADKARNI, CFA SENIOR BANKRUPTCY ANALYST 201 VARICK STREET – SUITE 1006 NEW YORK, NEW YORK 10014

INTERNAL REVENUE SERVICE SPECIAL PROCEDURES BRANCH ATTN: DISTRICT DIRECTOR 290 BROADWAY NEW YORK, NY 10007 ANDERSON KILL & OLICK, P.C. ATTN: ROBERT M HORKOVICH (COUNSEL TO ESSEX EQUITY HOLDINGS USA, LLC) 1251 AVENUE OF THE AMERICAS NEW YORK NY 10020-1182

POLSINELLI SHALTON FLANIGAN SUELTHAUS PC ATTN: JAMES BIRD, ESQ. (COUNSEL TO BATS HOLDINGS, INC.) 700 W. 47TH STREET, SUITE 1000 KANSAS CITY MO 64112

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# **EXHIBIT C**

## LEHMAN BROTHERS HOLDINGS INC. 08-13555-mg Doc 55995 Filed 08/20/17 Entered 08/20/17 00:21:33 Main Document Fg 15 of 18

Claim Name	Address Information
AGRICULTURAL BANK OF TAIWAN	TRANSFEROR: LEHMAN BROTHERS INTERNATIONAL (EUROPE) NO. 71 GUANCIAN RD TAIPEI CITY TAIWAN
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AKIO ISOMOTO	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU 107-0052 JAPAN
AKIO ISOMOTO	23-15, SUGIMOTO 2-CHOME, SUMIYOSHI-KU OSAKA 558-0022 JAPAN
AOYAMA, TAMOTSU	COSMO SECURITIES CO., LTD. ATTN: FINANCIAL PRODUCTS TRADING DEPT. 1-13-4, UCHIKANDA CHIYODA-KU TOKYO 101-8742 JAPAN
AOYAMA, TAMOTSU	ATTN: KIMIKO TAKAHASHI MITSUI COMPANY 14-2 AKASAKA 2CHOME, MINATO-KU TOKYO 107-0052 JAPAN
AOYAMA, TAMOTSU	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPAN AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
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ARCADIA HILL INC.	SUITE 28153 1942 NE 148TH ST MIAMI FL 33181-1161
BANK OF EAST ASIA LTD., THE, AS NOMINEE	FOR A/C E.A. INT'L TRUSTEES LTD-E 1015 35/F BEA TOWER, MILLENNIUM CITY 5 CYD DEPT KWUN TONG HONG KONG
BANK OF SINGAPORE LIMITED	TRANSFEROR: DANIEL SIA KOK LEONG, HEAD OF SECURITI ATTN: ERIC TEH EN-LIM, HEAD OF LEGAL 9 RAFFLES PLACE #08-01, REPUBLIC PLAZA SINGAPORE 048619 SINGAPORE
BARCLAYS BANK PLC	TRANSFEROR: VARDE FUND IX-A LP, THE ATTN: DANIEL MIRANDA & JEFF LONGMUIR 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	ATTN: JEFF LONGMUIR 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: VARDE FUND V-B LP, THE ATTN: DANIEL MIRANDA & JEFF LONGMUIR 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: VARDE FUND VIII LP, THE ATTN: DANIEL MIRANDA & JEFF LONGMUIR 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
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BARCLAYS BANK PLC	TRANSFEROR: VARDE INVESTMENT PARTNERS (OFFSHORE) M ATTN: DANIEL MIRANDA & JEFF LONGMUIR 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: VARDE INVESTMENT PARTNERS, LP ATTN: DANIEL MIRANDA & JEFF LONGMUIR 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: VARDE FUND IX LP, THE ATTN: DANIEL MIRANDA & JEFF LONGMUIR 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BAUPOST GROUP SECURITIES, L.L.C.	TRANSFEROR: RBS SECURITIES INC. C/O ROPES & GRAY LLP ATTN: GAVIN ALEXANDER PRUDENTIAL TOWER 800 BOYLSTON STREET BOSTON MA 02199-3600
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: GOLDMAN, SACHS & CO. C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT ATTN: JENNIFER DONOVAN 520 MADISON AVENUE, 30TH FLOOR NEW YORK NY 10022
CAPITAL PARTNERS SECURITIES CO., LTD	TRANSFEROR: LEHMAN BROTHERS JAPAN INC ATTN: MITSUGU SAITO 1-13-17 UCHIKANDA CHIYODA-KU TOKYO 101-0047 JAPAN
CAPITAL PARTNERS SECURITIES CO., LTD	TRANSFEROR: LEHMAN BROTHERS JAPAN INC ATTN: MUTSUGU SAITO 1-13-17 UCHIKANDA CHIYODA-KU TOKYO 101-0047 JAPAN
CF SENECA DIVERSIFIED GROWTH FUND	F/K/A CF MIDAS BALANCED GROWTH FUND BEAUFORT HOUSE 51 NEW NORTH ROAD EXETER, DEVON EX4 4EP UNITED KINGDOM
DAH SING BANK, LIMITED	TRANSFEROR: D.A.H. HAMBROS BANK (CHANNEL ISLANDS) C/O BAKER & MCKENZIE LLP; ATTN: IRA REID 452 FIFTH AVENUE NEW YORK NY 10018
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: DEUTSCHE SECURITIES INC. ATTN: MICHAEL SUTTON WINCHESTER HOUSE, 1 GREAT WINCHESTER ST LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: DEUTSCHE BANK SECURITIES INC. ATTN: MICHAEL SUTTON WINCHESTER HOUSE, 1 GREAT WINCHESTER ST LONDON EC2N 2DB UNITED KINGDOM
DORELLA INVESTMENTS	SUITE 28153 1942 NE 148TH ST MIAMI FL 33181-1161
EIKO UENO	FINANCIAL PRODUCTS TRADING DEPT. COSMO SECURITIES CO., LTD. 1-13-4, UCHIKANDA, CHIYODA-KU TOKYO 101-8742 JAPAN

## LEHMAN BROTHERS HOLDINGS INC. 08-13555-mg Doc 55995 Filed 08/20/17 Entered 08/20/17 00:21:33 Main Document Fg 16 of 18

Claim Name	Address Information
EIKO UENO	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU 107-0052 JAPAN
EIKO UENO	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPAN AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
EIKO UENO	4-23, FUKAEMINAMI 2-CHOME HIGASHINARI-KU OSAKA 537-0002 JAPAN
FATAI INVESTMENT LIMITED	PO BOX 210, 3RD FLOOR 24 SAND STREET ST. HELIER, JERSEY CHANNEL ISLANDS JE2 3QF UNITED KINGDOM
FATAI INVESTMENT LIMITED	TRANSFEROR: LEHMAN BROTHERS COMMERCIAL CORPORATION (IN LIQUIDATION) PO BOX 210, 3RD FLOOR 24 SAND STREET ST. HELIER, JERSEY CHANNEL ISLANDS JE2 3QF UNITED KINGDOM
FIRST COMMERCIAL BANK	C/O MORRISON & FOERSTER LLP ATTN: BRETT H. MILLER 250 WEST 55TH STREET NEW YORK NY 10019
GOLDMAN SACHS & CO.	TRANSFEROR: LIQUIDATION OPPORTUNITIES MASTER FUND, ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282
GOLDMAN SACHS & CO.	TRANSFEROR: TURNPIKE LIMITED ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282
GOLDMAN SACHS & CO.	TRANSFEROR: ALDEN GLOBAL VALUE RECOVERY MASTER FUN ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282
H.S. SECURITIES CO., LTD.	TRANSFEROR: LEHMAN BROTHERS JAPAN INC ATTN: YOSHIAKI MISHIMA SUMITOMO FUDOSAN SHINJUKU OAK TOWER 27F, 6-8-1 NISHI-SHINJUKU, SHINJUKU-KU TOKYO 163-6027 JAPAN
HISAKAZU MATSUSHIMA	FINANCIAL PRODUCTS TRADING DEPT. COSMO SECURITIES CO., LTD. 1-13-4, UCHIKANDA, CHIYODA-KU TOKYO 101-8742 JAPAN
HISAKAZU MATSUSHIMA	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU 107-0052 JAPAN
HISAKAZU MATSUSHIMA	9-7 NAGAYOSHIROKUTAN 2-CHOME HIRANO-KU OSAKA 547-0012 JAPAN
HSBC TRINKAUS & BURKHARDT INTERNATIONAL SA	8 RUE LOU HEMMER LUXEMBOURG-FINDEL L-1748 LUXEMBOURG
JP MORGAN INTERNATIONAL BANK LIMITED	ATTN: PAUL MCDADE, LEGAL DEPARTMENT 125 LONDON WALL LONDON EC2 5AJ UNITED KINGDOM
JUNKO ISOMOTO	FINANCIAL PRODUCTS TRADING DEPT. COSMO SECURITIES CO., LTD. 1-13-4, UCHIKANDA, CHIYODA-KU TOKYO 101-8742 JAPAN
JUNKO ISOMOTO	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
JUNKO ISOMOTO	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPAN AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
JUNKO ISOMOTO	23-15 SUGIMOTO 2-CHOME SUMIYOSHI-KU OSAKA 558-0022 JAPAN
KEIKO YOSHIDA	FINANCIAL PRODUCTS TRADING DEPT. COSMO SECURITIES CO., LTD. 1-13-4, UCHIKANDA, CHIYODA-KU TOKYO 101-8742 JAPAN
KEIKO YOSHIDA	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU 107-0052 JAPAN
KEIKO YOSHIDA	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPAN AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
KEIKO YOSHIDA	1280 ROKUJO-CHO NARA 630-8041 JAPAN
KEIMEI SHOJI CO, LTD	FINANCIAL PRODUCTS TRADING, DEPT. COSMO SECURITIES CO., LTD. 1-13-4 UCHIKANDA CHIYODA-KU TOKYO 101-8742 JAPAN
KEIMEI SHOJI CO, LTD	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
KEIMEI SHOJI CO, LTD	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPAN AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN
KEIMEI SHOJI CO, LTD	249 KUGIKAKUSHICHO BUKKOUJI KARASUMA-NISHIIRU SHIMOGYO-KU KYOTO 600-8423 JAPAN
KNIGHTHEAD (NY) FUND, L.P.	TRANSFEROR: GOLDMAN SACHS & CO. C/O KNIGHTHEAD CAPITAL MANAGEMENT ATTN: LAURA L. TORRADO, ESQ. 1140 AVENUE OF THE AMERICAS, 12TH FLOOR NEW YORK NY 10036
KOHEI ENOKI	FINANCIAL PRODUCTS TRADING, DEPT. COSMO SECURITIES CO., LTD. 1-13-4 UCHIKANDA

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Claim Name Address Information		
KOHEI ENOKI	CHIYODA-KU TOKYO 101-8742 JAPAN	
KOHEI ENOKI	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN	
KOHEI ENOKI	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPAN AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN	
KOHEI ENOKI	A/B/O SHOJI ENOKI 6-3 FURUEDAI 2-CHOME SUITA CITY OSAKA 565-0874 JAPAN	
LIGHTEN UP CORPORATION	2/F, 15 WANG CHIU ROAD KOWLOON BAY HONG KONG	
MASAKI NODA	FINANCIAL PRODUCTS TRADING DEPT. COSMO SECURITIES CO., LTD. 1-13-4, UCHIKANDA, CHIYODA-KU TOKYO 101-8742 JAPAN	
MASAKI NODA	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU 107-0052 JAPAN	
MASAKI NODA	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPAN AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN	
MASAKI NODA	7-1-1823, TAKAHAMACHO, ASHIYA-CITY HYOGO 659-0033 JAPAN	
MIFCOR	ALBERT NASSER 14 QUAI DU SEUJET 1201 GENEVE SWITZERLAND	
MINAMI TAXI CO, LTD.	FINANCIAL PRODUCTS TRADING, DEPT. COSMO SECURITIES CO., LTD. 1-13-4 UCHIKANDA CHIYODA-KU TOKYO 101-8742 JAPAN	
MINAMI TAXI CO, LTD.	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN	
MINAMI TAXI CO, LTD.	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPAN AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN	
MINAMI TAXI CO, LTD.	3-5-35, TSURUHASHI IKUNO-KU OSAKA 544-0031 JAPAN	
MITSUBISHI UFJ MORGAN STANLEY	ATTN: MS. HISAKO KUBO, LEGAL DEPARTMENT MR. TOMOHIRO NAKAMURA, CORPORATE	
SECURITIES CO., LTD.	PLANNING DIVISION MR. HIROKA KIKUCHI- STRUCTURED PRODUCTS DIVISION 22ND FLOOR, OTEMACHI FINANCIAL CITY GRAND CUBE 1-9-2 OTEMACHI, CHIYODA-KU TOKYO 100 JAPAN	
MITSUBISHI UFJ MORGAN STANLEY	MITSUBISHI UFJ SECURITIES CO., LTD LEGAL DIVISION MARUNOUCHI BUILDING, 2-4-1	
SECURITIES CO., LTD.	MARUNOUCHI CHIYODA-KU TOKYO 100-6317 JAPAN	
MITSUBISHI UFJ MORGAN STANLEY	MORRISON & FOERSTER LLP. LORENZO MARINUZZI, STACY MOLISON 250 WEST 55TH STREET	
SECURITIES CO., LTD.	NEW YORK NY 10019	
MIYOKO SHINNOU	FINANCIAL PRODUCTS TRADING DEPT. COSMO SECURITIES CO., LTD. 1-13-4, UCHIKANDA, CHIYODA-KU TOKYO 101-8742 JAPAN	
MIYOKO SHINNOU	KIMIKO TAKAHASHI AKASAKA 2.14 PLAZA BUILDING 14-32 AKASAKA 2-CHOME MINATO-KU 107-0052 JAPAN	
MIYOKO SHINNOU	TAKUHIDE MITSUI, ATTORNEYS AT LAW OF MITSUI COMPAN AKASAKA 2.14 PLAZA BUILDING, 14-32 AKASAKA 2-CHOME MINATO-KU TOKYO 107-0052 JAPAN	
MIYOKO SHINNOU	10-12, SAIGOUDOURI 1-CHOME MORIGUCHI-CITY OSAKA 570-0034 JAPAN	
MIZUHO SECURITIES CO LTD	ATTENTION: CREDIT TRADING & DERIVATIVE PRODUCTS DE OTEMACHI FIRST SQUARE 1-5-1, OTEMACHI CHIYODA-KU, TOKYO 100-0004 JAPAN	
MIZUHO SECURITIES CO., LTD	F/K/A MIZUHO INVESTORS SECURITIES CO., LTD ATTN: KUNIKO TAKIZAWA MITA NN BLDG. 4-1-23, SHIBA, MINATO-KU TOKYO 108-0014 JAPAN	
NG POH KIM ZITA	3 SIN MING WALK # 20-22 THE GARDENS AT BISHAN SINGAPORE 575575 SINGAPORE	
NOMURA SECURITIES CO., LTD.	TRANSFEROR: CAPITAL PARTNERS SECURITIES CO., LTD FINANCIAL PRODUCT DEPARTMENT 2-2-2, OTEMACHI, CHIYODA-KU TOKYO JAPAN	
PYRELL FUND, L.L.C.	TRANSFEROR: GOLDMAN SACHS & CO. MOSES & SINGER LLP - ATTN: MARK N. PARRY THE CHRYSLER BUILDING-405 LEXINGTON AVE NEW YORK NY 10174-1299	
ROTH IRA FBO LEE BATCHA PERSHING LLC AS CUSTODIAN	TRANSFEROR: ROYAL BANK OF SCOTLAND, PLC, THE ATTN: LEE BATCHA 21 BROOK TERRACE FAIR HAVEN NJ 07704	
ROTH IRA FBO MICHAEL ZLATIN PERSHING	TRANSFEROR: ROYAL BANK OF SCOTLAND, PLC, THE ATTN: MICHAEL ZLATIN 552	
LLC AS CUSTOD	CUMBERLAND STREET ENGLEWOOD NJ 07631	
ROYAL BANK OF SCOTLAND PLC, THE	C/O RBS SECURITIES INC. ATTN: PIA FRIIS, MANAGING DIRECTOR AND SENIOR COUN 600 WASHINGTON BOULEVARD STAMFORD CT 06901	
ROYAL BANK OF SCOTLAND PLC, THE	IRENA M. GOLDSTEIN & JEFFREY CHUBAK PROSKAUER ROSE LLP ELEVEN TIMES SQUARE NEW YORK NY 10036	

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Claim Name	Address Information
SMBC NIKKO SECURITIES INC.	TRANSFEROR: NIKKO CORDIAL SECURITIES INC. ATTN: MASATO KAMATSUKA 3-1 MARUNOUCHI 3-CHOME, CHIYODA-KU TOKYO 100-8325 JAPAN
SMBC NIKKO SECURITIES INC.	IRA A. REID BAKER & MCKENZIE LLP 452 FIFTH AVENUE NEW YORK NY 10018
UNION BANCAIRE PRIVEE	ATTN CORPORATE ACTIONS DEPARTMENT RUE DU RHONE 96-98 CASE POSTALE 1320 GENEVA 1 1211 SWITZERLAND
WING LUNG BANK LIMITED	ATTN: WMC 2/F WING LUNG BANK BLDG. 45 DES VOEUX RD. CENTRAL HONG KONG KONG
WONG SHUK CHING, PAULINE &	CHU KAR LAI, CAROLYN G/F 172 HENNESSY ROAD WANCHAI HONG KONG
ZAMA INTERNATIONAL LIMITED	TRANSFEROR: ZAMA INTERNATIONAL LIMITED P.O. BOX 210, 3RD FLOOR 24 SAND STREET ST. HELIER, JERSEY JE2 3QF CHANNEL ISLANDS

**Total Creditor count 93**